PTO/SB/21 (08-03 10/807,643 **Application Number TRANSMITTAL** March 23, 2004 Filing Date **FORM** First Named Inventor KAPLAN, AARON V. (to be used for all correspondence after initial filing) Art Unit Unassigned **Examiner Name** Unassigned Total Number of Pages in This Attorney Docket Number 015471-000910US Submission ENCLOSURES (Check all that apply) After Allowance Communication to Group Fee Transmittal Form ☐ Drawing(s) Appeal Communication to Board of Appeals Licensing-related Papers Fee Attached and Interferences Appeal Communication to Group (Appeal Petition Amendment/Reply Notice, Brief, Reply Brief) Petition to Convert to a Proprietary Information After Final **Provisional Application** Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Terminal Disclaimer Other Enclosure(s) Extension of Time Request (please identify below): Request for Refund Return Postcard Express Abandonment Request CD, Number of CD(s) PTO/SB/08A & PTO/SB/08B Information Disclosure Statement The Commissioner is authorized to charge any additional fees to Deposit Certified Copy of Priority Account 20-1430. Remarks Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Townsend and Townsend and Crew LLP Firm James M. Heslin Reg. No. 29,541 Individual Signature 2004 Date CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below Typed or printed name **Edward Masinas** Idward Masmas Date Signature May 10, 2004

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TOWNSEND and TOWNSEND and CREW LLP

Edward Masinas

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

KAPLAN, AARON V., M.D.

Application No.: 10/807,643

Filed: March 23, 2004

For: STENT FOR PLACEMENT AT

LUMINAL OS

Examiner: Unassigned

Art Unit: Unassigned

INFORMATION DISCLOSURE

STATEMENT UNDER 37 CFR §1.97 and

§1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the references are not enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

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Applicant believes that <u>no fee is required</u> for submission of this statement.

However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

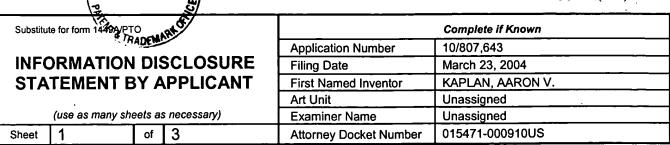
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Examiner Initials*	Cite No.1	Document Number Number Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear			
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Substitu	te for form 1449A/PT	0	_	Complete if Known		
				Application Number	10/807,643	
INFO	DRMATION	DIS	SCLOSURE	Filing Date	March 23, 2004	
STA	TEMENT B	SY A	PPLICANT	First Named Inventor	KAPLAN, AARON V.	
				Art Unit	Unassigned	
	(use as many she	eets a	s necessary)	Examiner Name	Unassigned	
Sheet	2	of	3	Attorney Docket Number	015471-000910US	

U.S. PATENT DOCUMENTS+								
		Document Number						
Examiner Initials*	Cite No.1	Number Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear			
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Examiner Initials*	Cite No.1	Foreign Patent Document			Name of Patentee or	Pages, Columns, Lines, Where Relevant				
		Country Code ³	Number ⁴	Kind Code ⁶ (if known)	Publication Date MM-DD-YYYY	Applicant of Cited Document	Passages or Relevant Figures Appear	T ⁶		
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NIE O	314 A TION		OL OCUPE	Application Number	10/807,643	
			CLOSURE	Filing Date	March 23, 2004	
STAT	EMENT B	BY A	PPLICANT	First Named Inventor	KAPLAN, AARON V.	
				Art Unit	Unassigned	
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